

## **GUIDE TO ONLINE ODD-LOT TRADING**

## I. GENERAL REGULATIONS

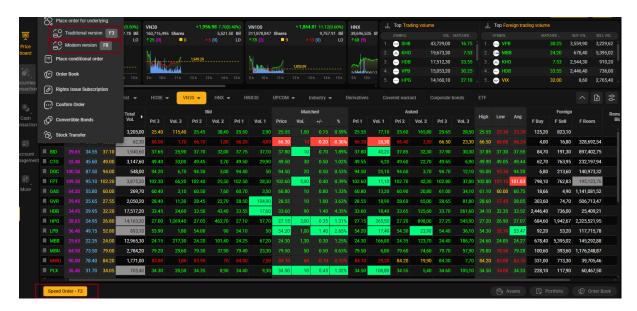
| Content               | Details  |
|-----------------------|--|
| Applicable securities | Shares, closed-end fund certificates, ETF fund certificates, and covered |
|                       | warrants   |
| Trading volume        | From 01 to 99 securities   |
| Trading method        | Continuous order matching and negotiated transactions. Odd-lot orders    |
|                       | can only be matched with other odd-lot orders and not with round-lot     |
|                       | orders.  |
| Order type            | Limit Order (LO)   |
| Trading hours         | Periodic order matching: 9:00 - 9:15, 14:30 - 14:45                      |
|                       | • Continuous order matching: 9:15 - 11:30, 13:00 - 14:30                 |
|                       | <ul> <li>Negotiated transactions: 9:00 – 11:30, 13:00 – 15:00</li> </ul> |
| Tick size, quotation  |  |
| unit, and price       | Same as round-lot trading  |
| fluctuation range     |  |
| Notes                 | Odd-lot orders are only matched when there are corresponding             |
|                       | buy/sell odd-lot orders (they cannot match with round-lot orders).       |
|                       | • Odd-lot trading of shares, fund certificates, or covered warrants      |
|                       | newly listed or relisted after being suspended or halted for 25          |
|                       | consecutive trading days or more shall not be entered into the trading   |
|                       | system until a reference price is established from round-lot trading     |
|                       | results.   |

## **II. PLACING ODD-LOT TRADING ORDERS**

To view the odd-lot price board, please go to **Price Board**  $\rightarrow$  Select **HOSE / HNX / UPCOM**  $\rightarrow$  **Odd Lot.** 



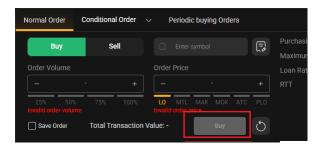




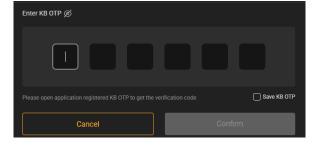
**Step 1:** You can place an order on the following trading screens: **Speed Order - F2 / Traditional version - F3 / Modern version - F8.** 



**Step 2**: Enter order details: Order type (Buy/Sell), Symbol, Order price, and Order Volume.



Step 3: Click Buy or Sell



Step 4: Enter KB OTP → Click Confirm