

MCO CONDITIONAL ORDER INSTRUCTIONS

KB BUDDY WTS

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1. Definition

A **Multi-Conditional Order (MCO)** is a Buy/Sell order that remains valid for one or multiple days, with a predefined quantity and price. Specifically:

- **Quantity:** The quantity of securities set by the investor.
- **Order Price**: The limit price set by the investor.
- **Trigger Conditions**: Investors can select one or a combination of multiple conditions. These include the following:
 - Based on market indices: Includes VN-INDEX, VN30-INDEX, HNX-INDEX, HNX30-INDEX, and UPCOM-INDEX.
 - Based on total market matched volume: Includes VNI, VN30, HNX, HNX30, and UPCOM
 - Based on the matched volume of the stock code
 - Based on the matched price of the stock code

- Trigger type:

- Occurs once: During the validity period, the order will only be activated once the trigger price condition is met.
- Matched full order volume: During the validity period, the order will be activated if the condition is met, until the full quantity is matched
- **Expiration Date:** The order is valid from the day it is placed until the expiration date or until the full quantity is matched.

2. Purpose

A MCO order helps investors place orders based on one or multiple market conditions without having to spend much time monitoring the market.

3. Regulations on Cancelling/Amending MCO Orders

- It is not permitted to modify the original order or any sub-orders that have been generated. Investors may cancel the original order and place a new one if necessary.
- The original order can only be canceled when it is in Pending Activation or Activated Status. It cannot be canceled during the ATC session.
- When the original order is canceled, the system will also cancel any generated sub-orders if they have not yet been matched or only partially matched.
- Sub-orders of an MCO (Multi-Conditional Order) cannot be canceled individually.

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4. Examples

Example 1: Placing an MCO sell order for stocks when the VNIndex market index decreases (using one condition).

On May 26, 2025, the VNIndex stood at 1,310 and showed a downward adjustment trend. The investor is concerned that if the VNIndex falls below 1,300 points, the market may decline sharply, and stocks in the securities sector, including FTS, are likely to follow the VNIndex downward. Given this outlook and the lack of time to continuously monitor the market, the investor uses an MCO to place a sell order to take profit on 10,000 FTS shares at a price of 37 when the VNIndex falls below 1,300. The investor can set up the MCO conditional order as follows:



- ✓ (1) Select SELL
- ✓ (2) Symbol: Enter FTS
- ✓ (3) Quantity: Enter the quantity 10,000
- ✓ (4) Order Price: Enter the price 37
- ✓ (5) Activate based on market index: Check the box for Market Index
- ✓ (6) (7) (8) select VNI ≤ 1,300
- ✓ (9) Trigger type: Assuming the investor wants the order to be triggered only once, they will select "Occurs once"
- ✓ (10) Expiration Date: Enter the desired expiration date as May 30, 2025
- \checkmark (11) Click SELL and enter the OTP verification code to complete the transaction.

The system will trigger the order as follows

- In the case that during the trading session on May 26, 2025, the VNIndex drops to 1,299.5 points, the condition is met and the order is triggered. A sell order for 10,000 FTS at the price of 37 is activated and sent to the exchange as a sub-order. Assuming the order is fully matched (all 10,000 shares), the original conditional order is considered complete.
- In the case that during the trading session on May 26, 2025, the lowest VNIndex is 1,302 points, the condition is not met, and the order is not triggered. On May 27, 2025, the VNIndex drops to 1,300 points, the condition is met, and the order is triggered and sent to the exchange
- If, during all trading days from May 26, 2025, to May 30, 2025, the VNIndex does not fall below 1,300 at any point, the order will not be triggered and will expire at the end of the day on May 30, 2025

Example 2: Placing an MCO to wait for a stock purchase (using multiple trigger conditions simultaneously)

On May 22, 2025, the matched price of DXG shares is 16. The investor observes that DXG shares tend to move in the same direction as DIG shares, but with a delay. Based on this analysis, the investor predicts that when the VNIndex rises above 1,300 points with a large market trading volume of at least 800,000,000 shares and DIG stock price surpasses 16.5 with a trading volume of 20,000,000 shares, a buy order for 10,000 DXG at the price of 16 should be placed. The investor can set up the MCO conditional order as follows:

Normal Order	Cor	nditiona	al Orde	er: M	co 📢) ~		Per	iodic I	buyin	g Orders												8
Buy	1	Sell				xg	2			×	Maximum V	'olum	e 63	2,348,55	3,406		hasing	g powe	er 1 ,	000,070), 87 6	5,835,170 Loan Ratio 0% RTT 0%	
Volume				Γ	Mark	et inde	ex						5	Matche	d marke	et ord	er volu	ime				Trigger type	
-	10,000	3		2	VN	ľ.	•		•		1,300		9	VNI	•		•		800,0	00,000	+	Matched full order volume	
Order Price					Matc	hed sy	ymbo	ol arde	er volu	ıme				Stock m	atch pi							Expiration date	
-	16	4		2		DIG	x		•		20,000,000		2		IG 🗙		-		1	6.5	+	30/05/2025 🕜 🖶	w 8 Order
				L																			
Speed Order - F	F2 09	1091809	92.SA																			🛱 Assets 📮 Portfolio	

- ✓ (1) Select BUY
- ✓ (2) Stock code: Enter DXG
- ✓ (3) Quantity: Enter 10,000
- ✓ (4) Order price: Enter 16
- (5) Activation based on:
 - Market Index: VNI > 1,300
 - Matched market order volume: VNI > 800,000,000
 - Matched symbol order volume: DIG ≥ 20,000,000
 - Stock match price: DIG > 16.5
- ✓ (6) Trigger type: Assuming the investor wants the order to remain active until the full buy quantity is matched, they will select "Matched full order volume"
- ✓ (7) Expiration date: Enter the desired expiration date as May 30, 2025
- \checkmark (8) Click **BUY** and enter the OTP verification code to complete the transaction.

The system will trigger the order as follows

Time	VN Index	VNIndex	DIG matched	Matched price	Order
		matched volume	trading volume	of DIG	activation
		(millions)	(millions)	(x1,000đ)	status
9h45	1,290	200	1.5	16	Pending
10h00	1,301	300	4.5	16.2	Pending
10h15	1,298	350	8	16.6	Pending
10h35	1,305	400	14	16.7	Pending
10h50	1,309	700	21	16.4	Pending
11h00	1,310	800	23	16.6	Activated

• In the trading session on May 22, 2025, the market developments were as follows:

(Green indicates the condition is met, white indicates the condition is not met)

- At 11:00 AM, when all conditions are met, the buy order for 10,000 DXG shares at the price of 16 will be triggered, the order status will be "Activated".
- In the trading sessions from May 22, 2025, to May 23, 2025, none of the four conditions were simultaneously met, so the order was not triggered and remained in the "Pending" status. On May 26, 2025, at 1:15 PM, all four conditions were simultaneously met, the order was triggered, and a sub-order was sent to the exchange with a quantity of 10,000 shares at a price of 16. Assuming the sub-order was matched for 3,000 shares. On subsequent trading days, if all four conditions of the MCO continue to be met simultaneously, the original conditional order will be triggered again for the remaining quantity of 7,000 shares at the price of 16, until the full quantity of 10,000 shares is matched or the order expires at the end of the day on May 30, 2025.

5. Steps

KB Buddy WTS

- Step 1: Enter <u>https://kbbuddywts.kbsec.com.vn/</u>
- Step 2: Select Securities transactions/ Place conditional order or "Speed Order F2"

₩Вuc	dd 🖍 wrs 💦 Ner	ws e CSI :	2024 Awards.	KBS	SV Launches C	onditiona	I Order Suite	e for Stock	Trading	09:32	2:19 13/0				Ē				n na Tùna T	
	Place order for underlying		2.95(0.23%)	VN30	ب ر ب	1,373.24	1.20(0.09%)	HNX			218.55 2		Top Tra	ling volume		,i	Top Fo	oreign tradin	g volume	
9 Price	E Place conditio	onal order	2,818.39 Bil) LO	45,086,415 S *20 (0)	anares 3	*7 (0)	•7 (0) LO		*85 (8) ² 21				SYMBOL	v 10,867	OL. MATCH.		Symbol . 🎰 NV			BUY VOL. 1,254,80
board	Place order fo		1				(a)				2.	 NVL Red 	8,168,	50 11.9	5 2	💮 M	3B T	24.10	1,253,50	
٠.	🕞 Order Book			-		.04		۲ <i>۰</i> ,		216.0	4	3. 4. (iii ssi iii shs	6,676, 5,951,	,60 12.7	0 3	I I I I I I I I I I I I I I I I I I I		12.90	801,60
Securities transaction	Rights Issue Subscription		14h 15h	9h 10h	11 h 12 h							5.	VPB	5,210,	,20 17.5	0 _ 5	DI		15.70	770,80
	Confirm Order		vorit 👻	HOSE 🖣			HNX 👻	HN	(30	UPCOM		Industr		Deriva	itives			Corpora		TF
Cash	ලට Convertible B	onds	Floor	Ref	↓ Total ↓ Vol. ▶	Dei 2	Vol 2	E Dri 2	id Vol 2	Dei 1	Vol 1	Deir	10	Matche	d 		Dri 1	Vol 1	As Dri 2	ked
transaction	්උ, Stock Transfe	r	58.00	62.30	118,40	62.20	4,50	62.30	63,00	62.40	38,30	6	2.50	3,50			62.50	7,50	62.60	8,0
13	SAB		46.00	49.45	102,40												49.45	5,90	49.50	16,9
Account	🔲 СТС			37.85	1,401,90	38.00		38.05	88,20	38.10							38.15		38.20	65,5
management	NKG			12.35	1,334,40	12.35	231,10	12.40	168,70	12.45	191,80	1	2.45	10	0.10	0.81%	12.50	91,30	12.55	344,8
-	SSI		21.60	23.20	6,689,70		738,30			23.60	160,80	2	3.60	50	0.40	1.72%	23.65	549,10	23.70	612,7
No.	DXG		15.20	16.30	820,80		103,10				251,90		6.30	2,00	0.00	0.00%	16.30	85,90	16.35	43,7
wore	ТСВ		27.35	29.40	2,031,60	29.45	96,30	29.50	222,40	29.55	43,30		9.55	10	0.15	0.51%	29.60	90,30	29.65	81,2
	PNJ		73.10	78.60	609,60	80.20	9,70	80.30	1,80	80.50	16,30	8	0.50		1.90	2.42%	80.60	13,80	80.70	16,6
	GMD		50.20	53.90	338,90	54.30	19,80	54.40	16,00	54.50	4,00		4.50	1,00	0.60	1.11%	54.60	4,30	54.70	17,6
	Normal Order	Condition	nal Order: S	то 👔 🗸 🗸	Period	lic buyin	g Orders													

• Step 3: Choose sub-account, select tab Conditional order, then select MCO

Normal Order	Conditiona	ıl Order	: MCO 👔 \vee Periodic buyi	Periodic buying Orders									
Buy	Sell			Maximum Volume - Purchasin	ng power 1,000,070,876,835,170	Loan Ratio - RTT 0%							
Volume					Trigger type								
			Market index	Matched market order volume	Occurs once 🔹								
Order Price					Expiration date								
	0		Matched symbol order volume	Stock match price	17/06/2025	Buy C Save Order							
Invalid price step													
Speed Order - F2	091C91809)2.SA	-			🔁 Assets							

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- Step 4: Enter details
 - Select Buy/Sell
 - Enter Stock code
 - o Enter Quantity
 - o Enter Order price
 - Pick Trigger conditions:
 - Market Index
 - Matched market order volume
 - Matched symbol order volume
 - Stock match price
 - Choose **Trigger type**
 - o Enter Expiration date
 - o Click **Buy/Sell**
- Step 5: On the **Order Confirmation** screen, enter the **OTP verification code** to complete the process.

6. Managing MCO

KB Buddy WTS

The investor can inquire and track MCO conditional orders on the **KB Buddy WTS** platform under **Securities transaction/Order Book/Conditional Order Management**.

- You can filter by the following criteria: Order (buy/sell), type of conditional order, and status

Regular order book Periodic buying management				Condition	al Order Man	agement									
		der: All			al order: A	All 🗸	Statu	s: All		~	Search			Ĵ	
V	2	View	Cancel	Symbol	B/S	Conditio order	antity	Trigger condition	Trigger price	Trigger range	Price step	Limit price	Take profit price	Stop price	Stop loss price
	2	View	Cancel	AAA		мсо	10,000					7,200			

- Select "**Cancel**" if the customer wants to cancel the original order (for orders that can be cancelled).
- Select "View" to see the detailed information of the original order and the activated order.

★ KB Securities

Deta	ils						×			
Origi	inal order info									
Cond			N	ico						
				SA	 Market index 	VNI> 1,300				
					 Market matched volume 					
Symbol			ļ	AA	 Matched volume 					
Limit	price (x1000)			7.2						
Vol.			10.	000		19/06/2025				
Trigg			Occurs o	nce	Original order status Activate					
Activa	tion order info	Successful tri	gger order				Ĵ			
Sym	Buy/Sell	Limit price		Status	Date time 🔱	Descriptions				
ААА		7,200	10,000	Activated	17/06/2025-13:53:13					

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