

Periodic Buy Stock Order

A Periodic Buying Order of KB Securities Vietnam Joint Stock Company (KBSV) is a Systematic Investment Plan for a fixed period regularly, whereby Client will prepare a certain amount on their securities account on a date and frequency determined by them(daily/weekly/monthly).

1. RULES FOR EXECUTING PERIODIC BUYING ORDERS

- Securities for trading: Stocks, closed-end fund certificates, ETF certificates, Cover Warrants (CWs) which have been registered and listed on Hanoi Stock Exchange (HNX) and Ho Chi Minh Stock Exchange (HSX).
- Type, price, and method of Orders:
 - For stocks listed on HSX: MP, executing at market price of a stock, continuous auction
 - For stocks listed on HNX: MTL, executing at market price of a stock, continuous auction
 - For stocks listed on UpCom: LO, executing at ceiling price of a stock, continuous auction
- Frequency: daily/weekly/monthly
- Repeat: finite or permanent
- Start Date: the first execution day of a Periodic Buying Order
- End Date: the last execution day of a Periodic Buying Order. The End Date depends on the Start Date of an Order, its frequency and horizon.
- Execution date: on the investment date, a certain investment amount (specified by Client) gets auto-debited from Client securities account and gets invested in the chosen stock. The first buying will be made on the Start Date and subsequent transactions are repeated according to the frequency chosen by Client until the End Date, specifically as follows:
 - With a Daily order, the order will be repeated on all working days (except weekends, statutory holidays) from the Start Date to the End Date.
 - With a Weekly order, make the first execution on the Start Date. Subsequent transactions will be determined on the 8th day from the latest execution date. In case the expected execution date (the 8th day from the latest transaction date) coincides with a holiday, the Buying order will be executed on the first working day afterward. The last transaction was executed on the End Date.
 - With a Monthly order, make the first execution on the Start Date. Subsequent transactions will be determined as on the same date with the latest execution date of the following month.
 - In case the next expected execution date coincides with the weekend or a public holiday, Buying Order will be executed on the first working day afterward.
 - In case the next expected execution date does not exist (eg: February 30th or September 31st does not exist), Buying Order will be executed on the previous working day of the expected execution date.

- The last transaction was executed on the End Date.
- Set-up value for Periodic Buying Order: is a fixed amount used to order securities periodically on the execution date.
 - Periodic Buying Order does not specify a minimum set-up value. However, to ensure that the Investor's periodic buy order is activated, the set-up value needs to be greater than or equal to the value to buy the minimum-round-lot volume at ceiling price plus transaction fee.
 - Client should have sufficient Set-up value for Periodic Buying Order in the chosen securities sub-account before Payment deadline for Periodic Buying Order at the execution date.
- Purchase value: is the actual amount being invested in Periodic Buying Order (including expected transaction fees) at the execution date. The purchase value is calculated by the matched price multiplied by the matched volume plus the temporary transaction fee for the day. At the end of the trading day, the transaction fee will be adjusted to the correct customer fee schedule according to the regulations of KBSV.
- Trading lot: round lot according to the regulations of the Stock Exchange
- Minimum investment horizon: A Periodic Buying Order do not require a specific investment horizon.
- Time limit for placing Periodic Buying Order and Payment deadline for Periodic Buying Order: No later than 09:45 AM on the execution date
- Transaction activation time: 10:00 AM on the day of the transaction

2. TRADING TERMS AND CONDITIONS

- KBSV is authorized to automatically deduct the purchase value and activate Periodic Buying Order(s) of (a) stock(s) at the execution date based on set-up information specified by Client.
- KBSV does not charge any fees from client' Periodic Buying Orders, except for the applicable transaction fee as prescribed in KBSV's Fee Schedule for Securities Services.
- Based on Client's authorization, each Buying Order is executed by KBSV in accordance with the rules stated in Section 1 and in compliance with the regulations of the relevant Stock Exchange, the Vietnam Securities Depository (and/or other competent State agencies). Client understands that the market is volatile and may lead to risks; and acknowledges that Periodic Buying Orders may result in consequences (in terms of price or quantity of securities traded) that are different from Client's expectations. In that case, Client accepts the risks related to the price and quantity of securities in the sub-account that KBSV execute Periodic Buying Orders in their favor.
- KBSV will round the Periodic Buying Order's quantity according to the principle of rounding down to the nearest maximum number when generating orders according to the Set-up value for Periodic Buying Order. In case this value is not enough to generate a buy order subject to minimum balance rules of lots as prescribed by the Stock Exchange, KBSV will not execute the order. The following order at the following execution days will not be cancelled if all conditions are met.

- A Periodic Buy Order will not be automatically executed if a stock is delisted as notified by the Stock Exchange.

3. CANCELLATION AND MODIFICATION OF PERIODIC BUYING ORDERS

a. Canceling Periodic Buying Orders

- Clients has the right to cancel their Periodic Buying Orders that have not been executed online on KB-Buddy trading application at Periodic Buy Stock Order section anytime since the Periodic Buying Order has been confirmed.
- In case Clients cancel a Periodic Buying Orders at Periodic Buy Stock Order section before 09:45 AM on the execution date, all unexecuted Buy orders will be canceled.
- In case Clients cancel a Periodic Buying Orders at Periodic Buy Stock Order section from 09:45 AM to 2:45 PM on the execution date, all unexecuted Periodic Buying Orders in the next cycles will be canceled. The order on the cancellation date will still be valid.
- In case the Periodic Buying Order at the transaction execution date is pending and is in the limit order type (LO) status, the Client can Cancel this order at the **Order Book** section.

b. Modifying Periodic Buying Orders

- Client has the right to actively modify unexecuted Periodic Buying Orders online on KB-Buddy trading application at Periodic Buy Stock Order section anytime since the Periodic Buying Order has been confirmed.
- In case Clients make (a) change(s) at Periodic Buy Stock Order section before 09:45 AM on the first execution date, they can modify (i) Set-up value, (ii) Repeat (iii) Frequency of the Periodic Buying Order. The command will be applied to all unexecuted orders.
- In case Clients make (a) change(s) at Periodic Buy Stock Order section before 09:45 AM on the execution date from the 2nd time onwards, they can modify (i) Set-up value, (ii) Repeat of the Periodic Buying Order only if the modified horizon exceeds the investment horizon of orders that have been executed. The command will be applied to all unexecuted orders.
- In case Clients make (a) change(s) at Periodic Buy Stock Order section from 09:45 AM to 2:45 PM on the execution date, they have the right to actively modify (i) Set-up value, (ii) Repeat of the Periodic Buying only if the modified horizon exceeds the investment horizon of orders that have been executed. The command will be applied to all unexecuted Periodic Buying Orders in the next cycles. The order on the modification date will still be remained the same as the original.

4. OTHER PROVISIONS

- Client understands and acknowledges that using online trading always has potential risks due to the error of the System or any other third party or caused by the carelessness of Client. Client commits to understand and accept all arising risks, losses or damages.

- Client agrees that KBSV has the right to amend, supplement or cancel, in whole or in part, any rules, terms and conditions relating to the period buy orders provided by KBSV. Client will automatically accept the modifications from the date on which such amendments and supplements come into force.